PUC 3006.02 Form for Renewal Registration of Aggregators

1. The legal name of the applicant as well as any trade name(s) under which it intends to operate in this state:

Legal Name: TFS Energy Solutions, LLC

Trade Name(s): Tradition Energy

2. The applicant's business address, telephone number, e-mail address and website address, as applicable:

Business Address: 9 West Broad Street, 9th Floor, Stamford, CT 06902

Telephone Number: (203) 205-9650 Fax Number: (203) 967-0426

Email Address: brian.mcdermott@traditionenergy.com

Website Address: <u>www.traditionenergy.com</u>

3. The name(s), title(s), business address(es), telephone number(s), and e-mail address(es) of the applicant if an individual or of the applicant's principal(s) if anything other than an individual:

Alan Kurzer, President Brian McDermott, Executive Dir., Head of Operations

9 West Broad Street, 9th Floor 9 West Broad Street, 9th Floor

Stamford, CT 06902 Stamford, CT 06902 203-351-1590 203-205-9650

alan.kurzer@tradition.com brian.mcdermott@traditionenergy.com

4. The telephone number of the customer service department or the name, title, telephone number and e-mail address of the customer service contact person of the applicant, including toll free telephone numbers if available:

Name: Mario Perez
Title: Vice President
Toll-free number: 1-877-51-Power

Email Address: ClientServices@TraditionEnergy.com

- 5. The name, title, telephone number and e-mail address of the individual responsible for:
 - (1) Customer Complaints:

Name: Brian McDermott

Title: Executive Director and Head of Operations

Number: 203-205-9650

Email Address: Brian.McDermott@TraditionEnergy.com

(2) Regulatory Compliance Matters:

Name: Lauren Zinman
Title: General Counsel
Number: 203-391-2253

Email Address: Lauren.Zinman@tradition.com

(3) Commission Assessment Payments:

Name: Lauren Zinman
Title: General Counsel
Number: 203-391-2253

Email Address: Lauren.Zinman@tradition.com

- 6. A copy of the applicant's authorization to do business in New Hampshire from the secretary of state, if anything other than an individual: Attached.
- 7. Evidence of the applicant's registration of the trade name, if any, to be used by the applicant in New Hampshire from the New Hampshire secretary of state. Attached.
- 8. A list of other states or jurisdictions in which the applicant currently conducts business relating to the aggregation of natural gas customers. Attached.
- 9. Neither Tradition Energy or any of Tradition Energy's principals:
 - (1) Has ever been convicted of any felony that has not been annulled by a court;
 - (2) Has, within the 10 years immediately prior to application, had any civil, criminal, or regulatory sanctions or penalties imposed against it, him, or her pursuant to any state or federal consumer protection law or regulation;
 - (3) Has, within the 10 years immediately prior to application, settled any civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation;
 - (4) Is currently the subject of any pending civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation; or
 - (5) Has been denied authorization to provide competitive natural gas supply service or natural gas aggregation service in any other state or jurisdiction.
- 10. A list of CNGSs in New Hampshire through which the applicant intends to provide service:
 - 1. Liberty Utilities (Energy North) 2. Unitil (Northern Utilities)
- **11.** Tradition Energy will not be representing any supplier interests. Tradition acts as an energy procurement and management consultant to the end consumers of natural gas, and represents only their interests.

- 12. Tradition Energy will market its services to customers in New Hampshire immediately as this is a Renewal Application.
- 13. The below individual hereby certifies that he has the authority to file the application on behalf of Tradition Agreement and attests that its contents are truthful and accurate.

Signed: Bin S. Milerst

Print: Brian McDermott

Title: Executive Director and Head of Operations

Date: April 6, 2020

CERTIFICATE

I, William M. Gardner, Secretary of State of the State of New Hampshire, do hereby certify that TFS ENERGY SOLUTIONS, LLC is a Delaware Limited Liability Company registered to transact business in New Hampshire on January 26, 2009. I further certify that all fees and documents required by the Secretary of State's office have been received and is in good standing as far as this office is concerned.

Business ID: 607858

Certificate Number: 0004885267



IN TESTIMONY WHEREOF,

I hereto set my hand and cause to be affixed the Seal of the State of New Hampshire, this 6th day of April A.D. 2020.

William M. Gardner Secretary of State

RENEWAL CERTIFICATE OF REGISTERED TRADE NAME

OF

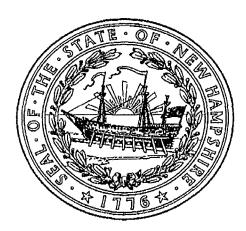
TRADITION ENERGY

This is to certify that TFS ENERGY SOLUTIONS, LLC reregistered in this office as doing business under the Trade Name TRADITION ENERGY, at 9 West Broad Street 9th Flr, Stamford, CT, 06902, USA on 6/29/2019 12:00:00 AM.

The nature of business is OTHER / energy procurment & consulting

Expiration Date: 6/29/2024 12:00:00 AM

Business ID: 616042

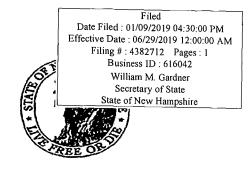


IN TESTIMONY WHEREOF, I hereto set my hand and cause to be affixed the Seal of the State of New Hampshire,

this 9th day of January A.D. 2019.

William M. Gardner Secretary of State





APPLICATION FOR RENEWAL OF TRADE NAME First Notice

NONE

CURRENT MAILING ADDRESS

TRADITION ENERGY (BUSINESS ID# 616042)

680 Washington Blvd, Stamford, CT, 06901, USA

Business Type: Trade Name Expiration Date: June 29, 2019

CURRENT PRINCIPAL OFFICE ADDRESS

Filing Fee: \$50.00

all a solutions	NEW MAILING ADDRESS
9 Wast Boxo Street, 9m Flr.	9 West Broad street, 9th FIR
Stanford, CT 06902	Stander CT 06402
The following trade name member(s) is/are doing business under is a change in trade name members: Registered By:	er the above listed Trade Name. You must contact this office if there
Name	Address
TFS Energy Solutions, LLC (607858)	9 West Broad Street, 9th Floor, Stamford, CT, 06902, USA
4 Street and/or PO Box No. City/Town	Hypertored CT 06902 State Zip
Principal Purpose of the Trade Name/Forced DBA:	
NAICS CODE	NAICS SUB CODE
OTHER / energy procurment & consulting	
Signed: (Must be signed by all listed trade name members. I	Note: If a husiness is a member the authorized person signing





Work Order #: 20191022001275

Receipt Date/Time: 01/14/2019 09:42:37 AM

Payer Information:

Tradition America Holdings Inc. 32 Old Slip, 28th Floor New York, NY, 10005, USA

Payer Customer ID: 218237

Filer Information:

Tradition America Holdings Inc. 32 Old Slip, 28th Floor New York, NY, 10005, USA

Filer Customer ID: 218237

Payment Information:

Date	Payment Type	Payment Reference	Authorization #	Payment Status	Payment Amount
01/14/2019 09:41:58 AM	Check	Check#: 526542	N/A	Paid	\$50.00
			Total Pa	yment Received:	\$50.00

Transaction Description:

Transaction #	Description	Reference Information
20191022001275-001	Trade Name Renewal - Trade Name	Tradition Energy

Transaction Information:

Date Received	Transaction #	Processing Status	Invoice Status	Amount
01/09/2019 04:30:00 PM	20191022001275-001	Accepted	Paid	\$50.00
			Total	\$50.00

Drawdown Account Balance:

\$0.00

Total Due:

\$0.00

Credit Account Balance:

\$0.00

Total Refunded:

\$0.00

Total Change To Credit Account Balance:

\$0.00





1/18/2019 8:43:03 AM Tradition America Holdings Inc. 32 Old Slip, 28th Floor New York, NY, 10005, USA

Enclosed is the acknowledgment copy of your filing. It acknowledges this office's receipt and successful filing of your documents.

Should you have any questions, you may contact the Corporation Division at the phone number or email address below. Please reference your Business ID Number when contacting our office.

Please visit our website for helpful information regarding all your business needs.

Sincerely, Corporation Division

Business ID: 616042 Filing No: 4382712

LIST OF STATES

Alabama Arkansas Arizona California Colorado Connecticut Delaware District of Columbia Florida Georgia Iowa Illinois Indiana Kansas Kentucky Louisiana Maine Maryland Massachusetts Michigan Missouri North Carolina New Hampshire **New Jersey New Mexico New York** Ohio Oklahoma Pennsylvania Rhode Island South Carolina Tennessee Texas Utah Virginia Washington Wisconsin Wyoming